PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
Naoki SADAYORI *et al.*
Appln. No.: 10/773,296 Group Art Unit: 1711
Filed: February 9, 2004 Examiner: SERGENT, RABON A
For: Polycarbodiimide having high index of refraction and production method thereof

STATEMENT

Assistant Commissioner for Patents
Alexandria, VA 22313-1450

Sir/Madam:

I, Katsunobu Ihara, of which address is c/o NGB Corporation, Ark Mori Bldg., 13F, 12-32, Akasaka 1-chome, Minato-ku, Tokyo 107-6013, Japan hereby state that:

I well understand the Japanese and English languages and attached is an accurate English translation made by me of Japanese Patent Application No. 2003-032929, filed February 10, 2003.

Date : March 5, 2006 Name : 井原克誠
Katsunobu IHARA

JAPAN PATENT OFFICE

This is to certify that the annexed is a true copy of the following application as filed with this Office.

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Applicant(s): Nitto Denko Corporation

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Commissioner,

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[List of Filed Articles]

[Article Name]	Specification	1
[Article Name]	Abstract	1
[Number of General Power of Attorney]	0006243	

[Need of Proof] Yes

[Document Name] Specification

[Title of the Invention]

POLYCARBODIIMIDE HAVING HIGH INDEX OF REFRACTION AND PRODUCTION METHOD THEREOF

[Claims]

[Claim 1] A polycarbodiimide copolymer having a repeating structural unit represented by the following formula (1) in a number "m":

[Chem. 1]

$$-\left(R^1-N=C=N\right)- \qquad (1)$$

(wherein R^1 means a naphthylene group) and a repeating structural unit represented by the following formula (2) in a number "n":

[Chem. 2]

$$-\left(R^2-N=C=N\right)- \qquad (2)$$

(wherein R^2 means an organic diisocyanate residue other than the aforementioned R^1) and also having on both termini a terminal structural unit derived from a monoisocyanate, wherein m + n is from 3 to 200 and n/(m + n) is from 0.05 to 0.99.

[Claim 2] The polycarbodiimide copolymer according to claim 1, wherein n in the aforementioned formula is an integer of from 3 to 200.

[Claim 3] A solution of a polycarbodiimide copolymer, comprising an aprotic organic solvent and the polycarbodiimide copolymer of claim 1 or 2 dissolved therein.

[Claim 4] A method for producing a polycarbodiimide copolymer, which comprises carrying out carbodiimidation reaction of an organic diisocyanate and a monoisocyanate in the presence of a carbodiimidation catalyst, wherein the reaction is carried out at a temperature of from 0 to 120°C using 5% by mol or more of naphthalene diisocyanate based on the total organic isocyanate.

[Detailed Description of the Invention]

[0001]

[Technical Field of the Invention]

This invention relates to a polycarbodiimide copolymer which has excellent heat resistance and chemical resistance and also has high index of refraction, and a production method thereof.

[0002]

[Prior Art]

In recent years, studies on transparent polymers having high index of refraction have been carried out

extensively, and they have been used for thin spectacle lenses, optical adhesive agents and the like. Generally sulfur-containing polymers, particularly polythiourethane, polysulfide and the like are known as such polymers. On the other hand, aromatic polycarbodiimide resins generally have high index of refraction but do not have sufficient properties as the aforementioned optical materials.

[0003]

[Problems to Be Solved by the Invention]

The object of the present invention is to provide a polycarbodiimide which has more higher index of refraction than that of the general polycarbodiimide, is excellent in heat stability and has good workability and moldability.

[0004]

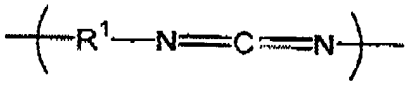
[Means for Solving the Problems]

With the aim of achieving the aforementioned object, the present inventors have conducted intensive studies. As a result, it was found that a polycarbodiimide containing 5% by mol or more of naphthalene residue based on the total diisocyanate residues shows far more higher index of refraction than that of the conventionally known polycarbodiimide, thus resulting in the accomplishment of the invention.

Accordingly, the invention is to provide a polycarbodiimide copolymer having a repeating structural

unit represented by the following formula (1) in a number "m":

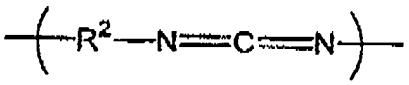
[Chem. 3]



(1)

(wherein R^1 means a naphthylene group) and a repeating structural unit represented by the following formula (2) in a number "n":

[Chem. 4]



(2)

(wherein R^2 means an organic diisocyanate residue other than the aforementioned R^1) and also having on both termini a terminal structural unit derived from a monoisocyanate, wherein m + n is from 3 to 200 and n/(m + n) is from 0.05 to 0.99, and a production method thereof. According to the invention, it is desirable that n is an integer of from 3 to 200.

[0005]

[Modes for Embodiments of the Invention]

The polycarbodiimide copolymer of the present invention is obtained by carrying out the reaction of naphthalene diisocyanate and other organic diisocyanate

with an organic monoisocyanate for controlling the chain length, in an aprotic solvent in the presence of a carbodiimidation catalyst.

[0006]

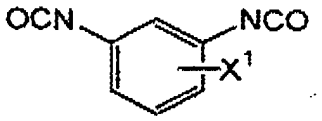
(Organic diisocyanate)

As the organic diisocyanate other than naphthalene diisocyanate, aromatic and aliphatic diisocyanates may be used.

As the aromatic diisocyanate, those which have the following formulae (3) and (4) can be used.

[0007]

[Chem. 5]



(3)

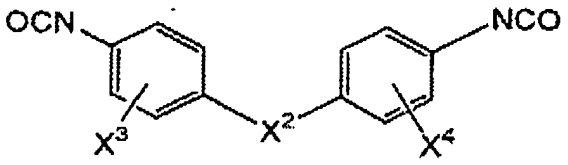
(In the formula, X^1 represents an alkyl group having from 1 to 5 carbon atoms, an alkoxyl group or a halogen atom.)

As the diisocyanate having this structure, m-phenylene diisocyanate, 2,4-tolylene diisocyanate, 2,6-tolylene diisocyanate, 6-methoxy-2,4-phenylene diisocyanate, 5-bromo-2,4-tolylene diisocyanate and the like can be exemplified.

[0008]

Also, another aromatic diisocyanate is

[Chem. 6]



(4)

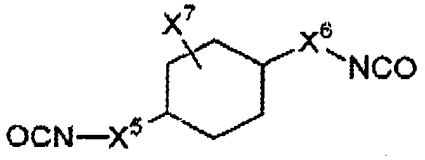
(wherein X^2 represents an alkylene group having from 0 to 5 carbon atoms, oxy group, sulfo group or sulfoxyl group, and each of X^3 and X^4 represents an alkyl group having from 1 to 5 carbon atoms, an alkoxyl group or a halogen atom).

As the diisocyanate having this structure, 4,4'-diphenylmethane diisocyanate, 3,3',5,5'-tetraethyl-4,4'-diphenylmethane diisocyanate, 4,4'-diphenylisopropylidene diisocyanate, 4,4'-diphenyl ether diisocyanate, 4,4'-diphenylsulfide diisocyanate, 4,4'-diphenylsulfoxide diisocyanate, 3,3',5,5'-tetramethyl-4,4'-diphenyl diisocyanate, 3,3'-dimethoxy-4,4'-biphenyl diisocyanate, 3,3'-dibromo-4,4'-biphenyl diisocyanate and the like can be exemplified.

[0009]

In addition, those which have the following formulae (5), (6) and (7) can be used as the aliphatic organic diisocyanate.

[Chem. 7]



(5)

(In this formula, each of X^5 and X^6 represents an alkylene group having from 0 to 5 carbon atoms, and X^7 represents an alkyl group having from 1 to 5 carbon atoms or an alkylene group having from 0 to 5 carbon atoms.)

As the diisocyanate having this structure, 4,4'-dicyclohexylmethane diisocyanate, norbornane diisocyanate, 4,4'-cyclohexane diisocyanate, isophorone diisocyanate, methylcyclohexane-2,4-diisocyanate, 2,4-bis(isocyanatomethyl)cyclohexane and the like can be exemplified.

[00010]

[Chem. 8]

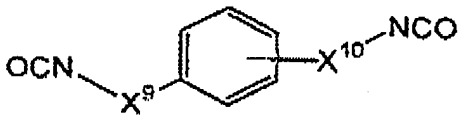
$$OCN\text{-}X^8\text{-}NCO \qquad (6)$$

(In this formula, X^8 represents an alkylene group having from 1 to 18 carbon atoms.)

As the diisocyanate having this structure, hexamethylene diisocyanate, 2,2,4-trimethylhexamethylene diisocyanate, 2,4,4-trimethylhexamethylene diisocyanate, octamethylene diisocyanate, dodecamethylene diisocyanate and the like can be exemplified.

[0011]

[Chem. 9]



(7)

(In this formula, each of X^9 and X^{10} represents an alkylene group having from 0 to 5 carbon atoms.)

As the diisocyanate having this structure, xylylene diisocyanate, α,α,α′,α-tetramethylxylylene diisocyanate, 4-isocyanatomethyl-phenyl isocyanate and the like can be exemplified.

[0012]

(Monoisocyanate)

As the monoisocyanate for controlling the chain length, for example, phenyl isocyanate, tosyl isocyanate, naphthyl isocyanate, isopropylphenyl isocyanate, methoxyphenyl isocyanate, chlorophenyl isocyanate, an alkyl isocyanate having from 1 to 10 carbon atoms and the like may be cited.

It is desirable to use the monoisocyanate in an amount of from 1 to 10 moles based on 100 moles of the diisocyanate. When the amount of the monoisocyanate to be used is smaller than this range, molecular weight of the obtained polycarbodiimide becomes too large or crosslinking reaction may occur, thus it may cause increase in the

solution viscosity or solidification of the solution or generating considerable reduction of storage stability of the polycarbodiimide solution. On the other hand, when amount of the monoisocyanate to be used is larger than the aforementioned range, solution viscosity of the obtained polycarbodiimide may become so low that proper film formation may not be effected in forming a film by coating and drying the solution.

[0013]

(Catalyst)

Various kinds of catalyst can be used in the polycarbodiimide polymerization, and their examples include 3-methyl-1-phenyl-2-phosphorene-1-oxide, 1-phenyl-2-phosphorene-1-oxide, 1-phenyl-2-phosphorene-1-sulfide, 1-ethyl-3-methyl-2-phosphorene-1-oxide, 3-methyl-1-phenyl-1-phosphor-3-cyclopentene-1-oxide, 2,5-dihydro-3-methyl-1-phenylphosphol-1-oxide, isomers corresponding thereto and 3-phosphorene. Also can be used are phosphine oxides such as triphenylphosphine oxide, tritolylphosphine oxide, bis(oxadiphenylphosphino)ethane and the like. Regarding the amount of the catalyst, it can be used within the range of from 0.001 to 5% by mol based on the total amount of the isocyanate. Amount of the catalyst when smaller than this may not be practical because of too prolonged period of time for the polymerization. When it exceeds the aforementioned range, on the other hand, the product may be

solidified into a gel during the reaction due to too quick reaction, or a product having considerably reduced storage stability might be obtained.

[0014]

(Solvent)

According to the polycarbodiimide copolymer of the invention, a polycarbodiimide solution can be obtained by carrying out a carbodiimidation reaction in an aprotic organic solvent. Examples of the aprotic organic solvent to be used for this purpose include benzene, toluene, xylene, trimethylbenzene, tetramethylbenzene, cymene, diethylbenzene and the like alkyl toluene and alkyl benzene; and naphthalene, tetrahydrofuran, dioxane, acetone, butanone, perclene, cyclohexanone, N-methylpyrrolidone, N,N-dimethylformamide, N,N-dimethylacetamide and the like, which may be used alone or as a mixture of two or more, or may contain components which are not concerned in the reaction. Particularly preferred solvents among them are toluene, xylene, benzene, perclene, cyclohexanone, trimethylbenzene, tetramethylbenzene, cymene, diethylbenzene, naphthalene, tetrahydrofuran and dioxane. It is desirable to use these solvents in such an amount that concentration of the polycarbodiimide in the polymer solution becomes from 1 to 90% by weight. When concentration of the polymer solids exceeds this range, the viscosity may become high and

storage stability of the solution may also be reduced. On the other hand, in case that the concentration is smaller than the aforementioned range, it is necessary to remove a large amount of the solvent at the time of molding the obtained polymer, which is not practical.

[0015]

[Examples]

Next, the invention is described further illustratively with reference to examples.

[Example 1]

A solution was prepared by mixing and stirring 24.5 g (141 mmol) of tolylene diisocyanate (mixture of isomers: Cosmonate T-80, mfd. by Mitsui Takeda Chemical), 106 g (423 mmol) of 4,4'-diphenylmethane diisocyanate (Cosmonate PH, mfd. by Mitsui Takeda Chemical), 29.6 g (141 mmol) of naphthalene diisocyanate (Cosmonate ND, mfd. by Mitsui Takeda Chemical), 11.9 g (70.6 mmol) of 1-naphthyl isocyanate and 212 g of toluene. This was mixed with 1.36 g (7.0 mmol) of 3-methyl-1-phenyl-2-phosphorene-1-oxide, heated to 80°C while stirring and then kept for 2 hours. Progress of the reaction was verified by an infrared spectroscopic analysis. Illustratively, decrease in the absorption of N-C-O stretching vibration (2270 cm^{-1}) of the isocyanate and increase in the absorption of N-C-N stretching vibration (2135 cm^{-1}) of the carbodiimide were observed. While stirring, the thus obtained

polycarbodiimide solution was added dropwise to 1 liter of heptane, and the thus formed precipitate was collected and dried to obtain 132 g of a polymer. A film obtained by casting and drying a toluene solution of this polymer was transparent when observed with the naked eye, and its refractive index was 1.738 at 589 nm when measured by Abbe's refractometer.

The solvent toluene was removed from the thus obtained polycarbodiimide solution at 80°C under a reduced pressure of 10 mmHg for 1 hour. The residual solid matter was hydrolyzed with a potassium hydroxide aqueous solution by the method described in *J. Appl. Polym. Sci.*, 14, 35 (1970) and then extracted with ether. Tolylenediamine, 4,4'-diphenylmethanediamine and 1,5-naphthalenediamine in the resulting ether phase were determined using a gas chromatograph-mass spectrometer (GC-MS). Calibration curves were prepared for the determination using respective standard samples. It was confirmed by this that amounts of tolylenediamine, 4,4'-diphenylmethanediamine and 1,5-naphthalenediamine were in the respective ratio of 20:60:20. It was confirmed by this that the n/(m + n) in the aforementioned formula (1) and formula (2) was 0.80. From this ratio and the weight average molecular weight of 7.8×10^3 obtained by a GPC of the polycarbodiimide solution, it was able to confirm that m + n is 43.

[0016]

[Example 2]

A solution was prepared by mixing and stirring 15.8 g (91 mmol) of tolylene diisocyanate (mixture of isomers: Cosmonate T-80, mfd. by Mitsui Takeda Chemical), 122 g (491 mmol) of 4,4'-diphenylmethane diisocyanate (Cosmonate PH, mfd. by Mitsui Takeda Chemical), 68.8 g (327 mmol) of naphthalene diisocyanate (Cosmonate ND, mfd. by Mitsui Takeda Chemical), 9.24 g (54.6 mmol) of 1-naphthyl isocyanate and 170 g of toluene. This was mixed with 0.87 g (4.5 mmol) of 3-methyl-1-phenyl-2-phosphorene-1-oxide, heated to 80°C while stirring and then kept for 2 hours. Progress of the reaction was verified by an infrared spectroscopic analysis. Illustratively, decrease in the absorption of N-C-O stretching vibration (2270 cm^{-1}) of the isocyanate and increase in the absorption of N-C-N stretching vibration (2135 cm^{-1}) of the carbodiimide were observed. While stirring, the thus obtained polycarbodiimide solution was added dropwise to 1 liter of heptane, and the thus formed precipitate was collected and dried to obtain 138 g of a polymer. A film obtained by casting and drying a toluene solution of this polymer was transparent when observed with the naked eye, and its refractive index was 1.744 at 589 nm when measured by Abbe's refractometer.

The thus obtained polycarbodiimide solution was treated in the same manner as in Example 1 to carry out each determination. As a result, it was confirmed that amounts of tolylenediamine, 4,4'-diphenylmethanediamine and 1,5-naphthalenediamine were in the respective ratio of 10:54:36. It was confirmed by this that the n/(m + n) in the aforementioned formula (1) and formula (2) was 0.64. From this ratio and the weight average molecular weight of 8.2×10^3 obtained by a GPC of the polycarbodiimide solution, it was able to confirm that m + n is 46.

[0017]

[Example 3]

A solution was prepared by mixing and stirring 29.8 g (171 mmol) of tolylene diisocyanate (mixture of isomers: Cosmonate T-80, mfd. by Mitsui Takeda Chemical), 94.4 g (377 mmol) of 4,4'-diphenylmethane diisocyanate (Cosmonate PH, mfd. by Mitsui Takeda Chemical), 64.9 g (308 mmol) of naphthalene diisocyanate (Cosmonate ND, mfd. by Mitsui Takeda Chemical), 8.71 g (51.4 mmol) of 1-naphthyl isocyanate and 184 g of toluene. This was mixed with 0.82 g (4.2 mmol) of 3-methyl-1-phenyl-2-phosphorene-1-oxide, heated to 80°C while stirring and then kept for 2 hours. Progress of the reaction was verified by an infrared spectroscopic analysis. Illustratively, decrease in the absorption of N-C-O stretching vibration (2270 cm^{-1}) of the isocyanate and increase in the absorption of N-C-N

stretching vibration (2135 cm^{-1}) of the carbodiimide were observed. While stirring, the thus obtained polycarbodiimide solution was added dropwise to 1 liter of heptane, and the thus formed precipitate was collected and dried to obtain 140 g of a polymer. A film obtained by casting and drying a toluene solution of this polymer was transparent when observed with the naked eye, and its refractive index was 1.757 at 589 nm when measured by Abbe's refractometer.

The thus obtained polycarbodiimide solution was treated in the same manner as in Example 1 to carry out each determination. As a result, it was confirmed that amounts of tolylenediamine, 4,4'-diphenylmethanediamine and 1,5-naphthalenediamine were in the respective ratio of 20:44:36. It was confirmed by this that the n/(m + n) in the aforementioned formula (1) and formula (2) was 0.64. From this ratio and the weight average molecular weight of 7.9×10^3 obtained by a GPC of the polycarbodiimide solution, it was able to confirm that m + n is 45.

[0018]

[Effect of the Invention]

The polycarbodiimide of the invention has a refractive index more higher than that of the general polycarbodiimide, and is excellent in heat stability, workability and moldability. In addition, since this

polymer is obtained in a half-hardened film form, it can be used in new fields such as a lens sheet by press working.

[Document Name] Abstract

[Abstract]

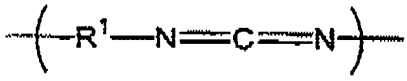
[Problem]

To provide a polycarbodiimide which has a refractive index higher than the general counterparts, is excellent in heat stability and has high workability and moldability.

[Means for Solution]

A polycarbodiimide copolymer having a repeating structural unit represented by the following formula (1) in a number "m":

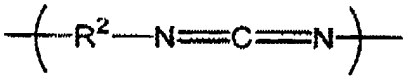
[Chem. 1]



(1)

(wherein R^1 means a naphthylene group) and a repeating structural unit represented by the following formula (2) in a number "n":

[Chem. 2]



(2)

(wherein R^2 means an organic diisocyanate residue other than the aforementioned R^1) and also having on both termini a terminal structural unit derived from a monoisocyanate,

wherein m + n is from 3 to 200 and n/(m + n) is from 0.05 to 0.99.

[Selected Figure] Nil